Balancing Market and Intraday Market Application Form

Introduction and Filing Address

* + - * 1. Borzen, organizator trga z električno energijo, d.o.o. (hereinafter referred to as “Borzen”) is competent to establish and operate the Slovenian balancing market as it’s delegated commercial public service obligation and to issue Rules for the Operation of the Slovenian Balancing Market in accordance with the Article 24 of Energy Law (Official Gazette of the RS, No. 27/07 – UPB-2, 70/2008, 22/2010, 37/2011 Odl.US: U-I-257/09-22 in10/2012; hereinafter referred to as “Rules for the Operation of the Slovenian Balancing Market”).
        2. BSP Energy Exchange LL C and its affiliated companies (hereinafter jointly and/or individually referred to as “BSP SouthPool”) jointly operate an energy exchange and respective trading, clearing and settlement systems (hereinafter referred to as “Exchange”) in accordance with the rules of the Exchange, including the appendices and other regulations of BSP SouthPool applicable, (hereinafter referred to as “Exchange Rules”).
        3. This application form (hereinafter referred to as “Application”) is the form required in accordance with the Rules for the Operation of the Slovenian Balancing Market and Exchange Rules as of 21 June 2016 for the application to Slovenian Electricity Balancing Market and/or to Market Participation in Slovenian Electricity Intraday Market shall be, duly signed and accompanied with the relevant documentation, filed with:
        4. BSP Energy Exchange LL C
        5. Dunajska cesta 156
        6. SI-1000 Ljubljana
        7. Slovenia
        8. Telephone: +386 1 620 7687
        9. Telefax: +386 1 620 7677
  1. Application

We, the Applicant, are hereby applying for:

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We, the Applicant, assure that:

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* 1. Applicant’s Identification Data

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| --- | --- | --- |
| ***Official Company Name*** | | |
| Full Company Name |  | |
| Short Company Name |  | |
| ***Official Registration*** | | |
| Legal Status |  | |
| Registration Number |  | |
| Place of Registration |  | |
| ***Registered Place of Business*** | | |
| Address |  | |
| City, Post No. |  | |
| Country |  | |
| ***Mailing Address*** | | |
| Company, Person |  | |
| Address |  | |
| City, Post No. |  | |
| Country |  | |
| ***Tax-related Registrations*** | | |
| Tax Registration No. | |  |
| VAT Identification No. | |  |
| ***Bank Account Information*** | | |
| Name of the Applicant’s Bank | |  |
| Address of the Applicant’s Bank | |  |
| Applicant’s Bank’s SWIFT code | |  |
| Applicant’s Bank Account No. (IBAN) | |  |
| ***Slovenian Electricity Market Registrations*** | | |
| EIC Identification Code | |  |

* 1. Correspondence Representatives for Issues Related to Admission

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Applicant’s Representatives for the operational issues related to Balancing Market/ Intraday Market admission procedures*** | | | | | | | | | |
|  | ***Name*** |  | ***Surname*** |  | ***Telephone*** |  | ***Telefax*** |  | ***E-mail*** |
| 1. |  |  |  |  |  |  |  |  |  |
| 2. |  |  |  |  |  |  |  |  |  |
| 3. |  |  |  |  |  |  |  |  |  |

* 1. Correspondence Representatives for Issues Related to Technical Requirements

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Applicant’s Representatives for the operational issues related to Balancing Market/ Intraday Market technical requirements*** | | | | | | | | | |
|  | ***Name*** |  | ***Surname*** |  | ***Telephone*** |  | ***Telefax*** |  | ***E-mail*** |
| 1. |  |  |  |  |  |  |  |  |  |
| 2. |  |  |  |  |  |  |  |  |  |
| 3. |  |  |  |  |  |  |  |  |  |

* 1. Statements and Signatures

We, the Applicant, hereby state and assure and give consent respectively that we:

1. are commercially engaged in the business of either buying and selling in our own name for our own account (own transactions) or buying and selling in our own name for the account of a third party (client transactions) or acting as intermediaries for contracts to buy and sell (brokerage operations);
2. are familiar with and accept the Rules for the Operation of the Slovenian Balancing Market and the Exchange Rules without reservations;
3. shall acknowledge and observe the Rules for the Operation of the Slovenian Balancing Market and the Exchange Rules including future amendments;
4. shall at all times fulfil all obligations towards Borzen, BSP SouthPool and other Exchange Participants, in accordance with the Rules for the Operation of the Slovenian Balancing Market, Exchange Rules and applicable laws and with respect to the risk exposure, originating from our activities at either or both the Balancing Market and the Intraday Market;
5. have all necessary authorisations, licenses and permissions required by any state and/or private laws applicable in order to do business and operate at either or both the Rules for the Operation of the Slovenian Balancing Market and the Intraday Market;
6. will without undue delay notify Borzen and BSP SouthPool of any changes of factual or legal nature in relation to the information and data required and/or conveyed in the process of admission;
7. agree to Borzen and BSP SouthPool to publish our company, as either Balancing Market participant or Intraday Market participant or both, upon admission;

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| Agreed to and executed in/at | | | | |  | | | on | |  | |  |
|  | Signed: | | |  | |  | Signed: | | | |  | |
|  | Name: | |  | | |  | Name: | | |  | | |
|  | Title: |  | | | |  | Title: | |  | | | |

Nomination Sheet

We, the authorising Exchange Member, hereby nominate the persons stated below as Applicant’s Exchange Traders and Clearing Representatives (i.e. both Financial Settlement Representatives and Physical Settlement Representatives) respectively to be approved by BSP SouthPool as such.

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| Authorising Exchange Member | |  |
|  | ***To be provided if the Applicant is not the Authorising Exchange Member*** | |
|  | Applicant |  |

* 1. Exchange Traders

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Central Telephone: | | |  | | | | |  | Central Telefax: | | | |  | | |
| ***Exchange Traders Authorised to Act on Applicant’s Behalf*** | | | | | | | | | | | | | | | | |
|  | ***Name*** | |  | ***Surname*** | |  | ***Telephone*** | | |  | ***Mobile Phone*** | | |  | ***E-mail*** | |
| 1. |  | |  |  | |  |  | | |  |  | | |  |  | |
| ⮡ | | Authorised to trade in: | | |  | | | | | | |  | | | | |
|  | |  | | |  | | | | | | |  | | | | |
| 2. |  | |  |  | |  |  | | |  |  | | |  |  | |
| ⮡ | | Authorised to trade in: | | |  | | | | | | |  | | | | |
|  | |  | | |  | | | | | | |  | | | | |
| 3. |  | |  |  | |  |  | | |  |  | | |  |  | |
| ⮡ | | Authorised to trade in: | | |  | | | | | | |  | | | | |
|  | |  | | |  | | | | | | |  | | | | |

* 1. Financial Settlement

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| Central Telephone: | | | |  | | | |  | Central Telefax: | | | |  | | |
| ***Financial Settlement Clearing Representatives Authorised to Act on Applicant’s Behalf*** | | | | | | | | | | | | | | | |
|  | ***Name*** | |  | ***Surname*** | |  | ***Telephone*** | | |  | ***Mobile Phone*** | | |  | ***E-mail*** |
| 1. |  | |  |  | |  |  | | |  |  | | |  |  |
| ⮡ | | Authorised to act in: | | |  | | | | | | |  | | | |
|  | |  | | |  | | | | | | |  | | | |
| 2. |  | |  |  | |  |  | | |  |  | | |  |  |
| ⮡ | | Authorised to act in: | | |  | | | | | | |  | | | |
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| 3. |  | |  |  | |  |  | | |  |  | | |  |  |
| ⮡ | | Authorised to act in: | | |  | | | | | | |  | | | |
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* 1. Physical Settlement (Scheduling)

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| **24-hours Telephone:** | | | | | | | |  | | | | | |  | Central Telefax: | | | | |  | | |
| ***Physical Settlement Clearing Representatives Authorised to Act on Applicant’s Behalf*** | | | | | | | | | | | | | | | | | | | | | | |
|  | ***Name*** | | |  | | ***Surname*** | | | |  | | ***Telephone*** | | | |  | ***Mobile Phone*** | | | |  | ***E-mail*** |
| 1. | |  | | |  | |  | | | |  | |  | | |  | |  | | |  |  |
| ⮡ | | | Authorised to act in: | | | | | |  | | | | | | | | | |  | | | |
|  | | |  | | | | | |  | | | | | | | | | |  | | | |
| 2. | |  | | |  | |  | | | |  | |  | | |  | |  | | |  |  |
| ⮡ | | | Authorised to act in: | | | | | |  | | | | | | | | | |  | | | |
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| 3. | |  | | |  | |  | | | |  | |  | | |  | |  | | |  |  |
| ⮡ | | | Authorised to act in: | | | | | |  | | | | | | | | | |  | | | |
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We, the authorising Exchange Member, shall upon decision to withdraw authorisation of any of the persons promptly inform BSP SouthPool of such decision in writing using the appropriate forms. BSP SouthPool shall, upon receipt of the aforementioned information on withdrawal of authorisations, effect the changes in authorisations within its Trading and Clearing Systems without undue delay. Until information on withdrawal of authorisations is received by BSP SouthPool and the time needed to effect the appropriate changes in the Trading and/or Clearing Systems passes, the authorisations shall be deemed as valid in relation to BSP SouthPool and other Exchange Participants and Clearing Members.

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| Agreed to and executed in/at | | | | |  | | | on | |  | |  |
|  | Signed: | | |  | |  | Signed: | | | |  | |
|  | Name: | |  | | |  | Name: | | |  | | |
|  | Title: |  | | | |  | Title: | |  | | | |